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jc913 U.S. PTO  
09/694241  
10/23/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Patent Application to be filed under 35 U.S.C. par.120 as a Continuation-In-Part  
Application of International Patent Application No. PCT/EP99/02599 filed on 19/04/99  
and claiming priority of German Patent Application No. 198 18 360.7 filed on 24/04/98.

Docket No.: K 168

Inventors: Nicole Barie, Jean Gobet, Michael Rapp, Hans Sigrist

Title: DEXTRAN-COATED SURFACE

Honorable Commissioner of Patents and Trademarks

October 12, 2000

Washington D.C. 20231

BOX PATENT APPLICATION

TRANSMITTAL LETTER FOR NEW PATENT APPLICATION UNDER 35 U.S.C.-119

Sir:

Please find enclosed herewith the following documents relating to the above-identified case:  
Priority of German application 198 18 360.7 is claimed

1. Specification including 11 pages with 10 claims, 4 drawings
2. Declaration and Power of Attorney
3. Assignment and Recordation Form Cover Sheet
4. A return postcard for acknowledgement of receipt
5. Certified copies of basic German application
6. Information Disclosure Statement (Form PTO-1449)
7. Copy of Preliminary Examination Report and Translation of Report

The fees involved are as follows:

Basic Fee

710  
\$690.--

Please charge the fee as well as any additional fee related to this application to deposit account No: 500465

Respectfully submitted,

*K. Bach*

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**CERTIFICATE OF MAILING - EXPRESS MAIL**

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09/694241

**Commissioner of Patents and Trademarks:**

Kindly acknowledge receipt of the following  
Application documents for an invention entitled:

DEXTRAN-COATED SURFACE

Inventor(s): Nicole Barie et al.

Docket No: K 168

specification (11 pages spec. with 10 claims, 4 drawing(s))

## Information Disclosure Statement

## Translation of internat. Examination report

Certif. Copy of German application 198 18 360.7

Postcard for acknowledgement of receipt

I hereby certify that the correspondence identified above is being deposited with the United States Postal Service as: **EXPRESS MAIL**

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## DEXTRAN-COATED SURFACE

This is a continuation-in-part application of international application PCT/EP99/02599 filed 04/19/99 and claiming the priority of German application 198 18 367.7 filed 04/24/98.

### BACKGROUND OF THE INVENTION

The invention relates to a dextran coated surface as it is known from O'Shannessy, D.J., Brigham-Burke, M.; Peck, K.; Anal. Biochem. 205 (1992) 132 - 136.

It is known from S. Löfas, B. Johnson; J.Chem. Soc. Chem. Commun. (1990) 1526-1528 that dextrans can be attached to gold surfaces by way of self-assembled monolayers of 1,ω-hydroxy-alkylthiols.

However, some sensors such as surface acoustic wave sensors (SAW) do not permit the use of a conductive intermediate layer so that gold cannot be used as an intermediate layer.

Such sensors are known for example from DE 43 19 215 A1.

It is the object of the present invention to provide a layer by way of which a dextran layer can be fixed to a carrier surface.

### 15 SUMMARY OF THE INVENTION

In a dextran coated surface disposed on a carrier, the connections between the dextran and the carrier surface are formed by a photolinker, the dextran coating being attached to the carrier surface by co-immobilization of a mixture of dextran and the photolinker.

The layering according to the invention has the following advantages:

The extremely flexible dextran-containing layer can be used on SAW sensors and on many other sensors.

Almost all proteins can be immobilized on the dextran by a standardized method (i.e. carbodiimide-chemistry).

5 In place of dextran, any modified dextran species may be used such as carboxy-dextran, biotynilized dextran. Any other type of functionalized dextran, wherein one or several reactive groups are bonded to the dextran may also be used.

10 User of conventional biosensor systems do not need to adjust with respect to the sensor chemistry when introducing dextran-coated SAW biosensors.

15 Furthermore, this method is transferable to any other systems in a simple manner. Only a single protein (the BSA) needs to be modified, not the substance itself which is to be immobilized.

The T-BSA used for the photo-immobilization serves at the same time as means for blocking unspecified bonding locations on the surface provided with a protective coating.

20 With the aid of SAW sensor arrays, it is possible to detect at the same time different bio-components, whereby a whole class of substances can be screened. This is difficult to achieve with the commercially available biosensor systems (for example BIACore or IAsys) because of the expensive optical methods.

25 Photoimmobilization is, in comparison to an attachment of the dextrans by way of thiols, a very simple and easily reproducible method.

Below an embodiment of the invention will be described on the basis of the accompanying drawings.

30 BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows schematically the layer arrangement and

Fig. 2, Fig. 3, and Fig. 4 show measurement results obtained with coated SAW sensors.

## DESCRIPTION OF EMBODIMENTS OF THE INVENTION

### Protective Coatings:

In order to employ commercially available SAW-devices as  
5 mass-sensitive transducers in biosensors, the SAW surface must  
be coated with a bio-sensitive layer of proteins which then de-  
tect the respect analyte molecules in the sample.

These reactions take place in aqueous media. However, the  
use in these aqueous media and also the necessary immobiliza-  
10 tion procedures require a protection for the structures and  
bond wires of aluminum present on the device since, otherwise,  
they will not be able to withstand the chemical conditions.

DE 196 18 82 discloses a thin coating of a complete part  
with polyimide by a spin coating procedure which provides for a  
15 relatively good protection.

However, after operation of the part in acid or alkaline  
media substantial corrosion of the structures may occur.

An improved protection can be obtained by a coating with a  
thin film of parylene.

20 Parylene is a group designation for thermoplastic polymers  
with phenyl rests joined in the 1,4-position by way of ethyl-  
ene-bridges. Parylenes are solvent resistant below their melt-  
ing points; they have excellent dielectric properties and are  
excellent barrier plastics. They are mainly used as intermedi-  
25 ate layers for isolators, for the passivation of semi-  
conductors and as smooth coatings of printed conductor plates.

They are manufactured by dehydrating dimerisation of p-  
xylol to paracyclophane by way of 1,4-chinodimethane, which is  
formed as an intermediate compound and which polymerizes during  
30 condensation from the gas phase on suitable substrates to form  
thin films of poly(p-xylylene).

In addition to poly(p-xylylene) the parylenes poly(2-  
chlorine-p-xylylene)s and the poly(dichlorine-p-xylylene)s,

which are accessible from the corresponding p-xylol-derivatives, are suitable for coatings.

The parylene-coated parts have a number of advantages over polyimide-coated parts.

5 The additional attenuation by the polymer layer deposited is small, but the amount is about the same for parylene and polyimide. The parts provided with a protective coating have attenuations of -2.5 to 3.0 dB (at a phase passage of -10°).

10 The reproducibility of the parylene coating is clearly better than the coating of the SAW devices with polyimide.

15 In contrast to a polyimide film, a parylene film is extremely smooth which is very important for the use of SAW components since the travel of the surface wave is disturbed by surface irregularities; they result in additional attenuation losses and in reduced sensitivity.

The protective coating is to protect the SAW component from the corrosive attack by aqueous solutions, acids, bases and other aggressive compounds.

20 With polyimide-coated components, the development of gases could be observed already after a few minutes particularly at the contact locations of the bond wires with the bond pads which appeared to be the result of a corrosive attack of the acids on the aluminum. After about 15 - 30 min, the bond wires were completely destroyed and the interdigital structure was 25 clearly under attack.

30 In contrast, no attack could be observed with parylene-coated components even after exposure of several hours and even after heating of the sensor in acid. The parylene film therefore provides for excellent protection of the component from corrosion attacks.

#### Photoimmobilization of the dextran:

Fig. 1 shows schematically the layer arrangement for the immobilization of biomolecules on dextran-coated SAW sensor surfaces by co-immobilization with photo-active T-BSA. As

shown, the dextran is bonded covalently to the sensor surface, which is provided with a protection layer, by photo-co-immobilization with the aid of T-BSA.

For this covalent attachment, the following steps are performed:

A mixture of T-BSA and dextran is deposited on the sensor provided with a protective surface.

An incubation period of 60 min. at room temperature follows.

Then the preparation is dried in a vacuum for 2 hrs ( $10^{-3}$  mbar)

followed by a light exposure using a Hg vapor lamp.

T-BSA is produced from bovine serum albumin (BSA) by converting it with a diazirine-derivate, the 3-trifluoro-methyl-3-(m-isothiocyanophenyl)-diazirine (TRIMID), whereby, as a result, an amino group of the lysine side chains of the photoactive TRIMID is introduced (M. Dolder, H. Michel, H. Sigrist, J. Prot. Chem. 9 (1990) 407-415 and Sigrist, H., Mühlmann, M.; Dolder, M.; J. Photochem. and photobiol., B 7 (1990), 277-287). Per molecule BSA up to 7 TRIMID-groups can be introduced in this way. If the T-BSA is then irradiated with light of the wave length 348 nm, the diazirine ring releases nitrogen and a triplet-carbene is formed. This triplet-carbene is very reactive and inserts itself in a multitude of chemical bonds.

In the co-immobilization the T-BSA serves as a photo crosslinker, that is, the BSA is deposited, together with dextran, on the SAW-component provided with a protective layer. Since - as mentioned earlier - per BSA molecule up to 7 TRIMID units are present, the triplet carbene formed during the irradiation can provide for cross-links with the protective layer as well as with the dextrans. In this way, the dextran becomes covalently attached to the surface.

The co-immobilization of dextran by way of T-BSA has several advantages:

It involves a very simple and fast procedure. The T-BSA is mixed with the dissolved dextran and applied to the protective layer-coated component. Then the water is removed in a vacuum for 2 hours in order to ensure that the carbenes formed later during illumination react with polymer and dextran and not with any surrounding water. After the water is completely removed, the component is exposed to a Hg vapor lamp for 45 minutes. Consequently, after a total of only 4 hrs, the dextran is covalently attached to the surface.

Immobilization of proteins on dextran and observation of the immunoreaction:

In order to obtain a biosensor, the receptive biomolecule must be immobilized on the dextran. A simple and rapid attachment of almost any biomolecule is possible using the following procedure (Johnson, B.; Löfas, S.; Lindquist, G., Anal. Biochem. 198(1991) 268-277).

1. The carboxy-methylized dextran attached by photoimmobilization to the protective layer-coated surface is converted to NHS-ester in a single-step activation by N-(3-Dimethylamino-propyl)-N'-ethyl carbodiimide (EDC) and N-hydroxysuccinimide (NHS). The NHS-esters are very reactive and have a half-life on the sensor surface of about 15 minutes. If then a biomolecule is added, it attaches with one of its amino groups to the dextran while releasing N-hydroxysuccinimide. During the single-step activation about 30 to 40% of the carboxy groups of the dextran are converted to NHS-ester; therefore, after the attachment of the biomolecules, the dextran still has a multitude of active NHS-ester groups. However, since for example, in the case of an immobilized antibody during the immunoreaction, the antigen is to be bound by the antibody and not covalently attached by way of the NHS-ester, the excess NHS esters must be deactivated. This is achieved with 1M ethanola-

mine. In the process, the NHS-esters are converted to 2-hydroxyethaneamid derivatives, whereby finally the finished biosensor is obtained.

2. Fig. 2 shows, in an exemplary way, an immobilization 5 with sensor response.

The sensor is first flushed with 10 mM HEPES-buffer (pH 7.5) in order to assume the basis line. The one-step activation occurs with a freshly prepared mixture of 100 mM NHS and 400 mM EDC. This solution has a conductivity of 14.4 mS/cm, 10 which is substantially higher than the conductivity of 550  $\mu$ S/cm of the HEPES buffer. The sensor reacts with a frequency increase of about 100 kHz. Subsequently, the sensor is again flushed with HEPES buffer and then a solution of the biomolecule to be immobilized is applied. The biomolecule - in 15 the present case monoclonal antibodies against urease - is present in a 10 mM acetate buffer at a pH = 5.0. Fig. 2 shows a frequency reduction, which is caused by the mass increase on the sensor surface. From the sensor behavior, the slow adjustment of the equilibrium is apparent which is reached after 20 about 45 to 50 minutes. Then flushing with HEPES buffer takes place again. Subsequently, the excess NHS ester groups are deactivated by ethanolamine. In the last step for the preparation of the biosensor samples of 4 mg/ml BSA are applied in order to block the non-specific binding sites.

25 3. Fig. 3 shows the observation of an immunoreaction. With a sensor prepared as described above first tests for observing the immunoreaction were performed. For that purpose, a sensor coated with monoclonal antibodies against urease was first flushed with 10 mM HEPES buffer (pH 7.5) and the basis 30 line was recorded. Subsequently, a solution of 2 mg/ml urease was applied. A frequency reduction is observed which ends after about 90 minutes. After further flushing with HEPES buffer a frequency change of about 65 kHz was obtained which is the

result of the immunoreaction between the immobilized antibodies and the antigen urease.

Fig. 4 shows control tests with non-specific antigens. A plurality of control experiments were performed. In this process, 5 non-specific antigens were applied to sensors with identical treatment and the sensor response was observed. When such a sensor was exposed to immobilized monoclonal antibodies against urease with L-tryptophan, no frequency change was observed. The rapid but reversible frequency change when exposed 10 to an analyte or during reverse flushing with buffer is caused by the different conductivity of the two solutions. Upon exposure to glucose oxidase (GOD) or respectively, BSA, a frequency change of about 8 kHz is obtained. A sensor, which has no immobilized antibodies on the dextran matrix showed, upon exposure 15 to urease, a frequency change of about 15 kHz, which is the result of a non-specific reaction of urease. All these frequency changes are clearly lower than those of the specific immunoreaction between urease and antibodies against urease, which were determined to be 65 kHz.

CLAIMS

What is claimed is:

1. A dextran-coated surface on a carrier having a carrier surface with a connection between the dextran and the carrier surface formed by a photolinker, said dextran-coating being attached to said carrier surface by co-immobilization of a mixture of dextran and the photolinker.
2. A dextran-coated surface according to claim 1, wherein said photolinker is a 3-trifluoromethyl-3-(m-isocyanophenyl)-diazirine (TRIMID)-modified protein.
3. A dextran-coated surface according to claim 1, wherein said photolinker is a 3-trifluoromethyl-3-(m-isocyanophenyl)-diazirine (TRIMID)-modified protein.
4. A dextran-coated surface according to claim 3, wherein said polysaccharide is aminodextran.
5. A dextran-coated surface according to claim 1, wherein said protein is bovine serum albumin (BSA).
6. A dextran-coated surface according to claim 1, wherein said carrier surface is coated with a polymer film.

7. A dextran-coated surface according to claim 6, wherein said polymer film consists of one of polyimide and poly-(p-xylylene).

8. A dextran-coated surface according to claim 1, wherein said carrier surface is the surface of a mass-sensitive sensor.

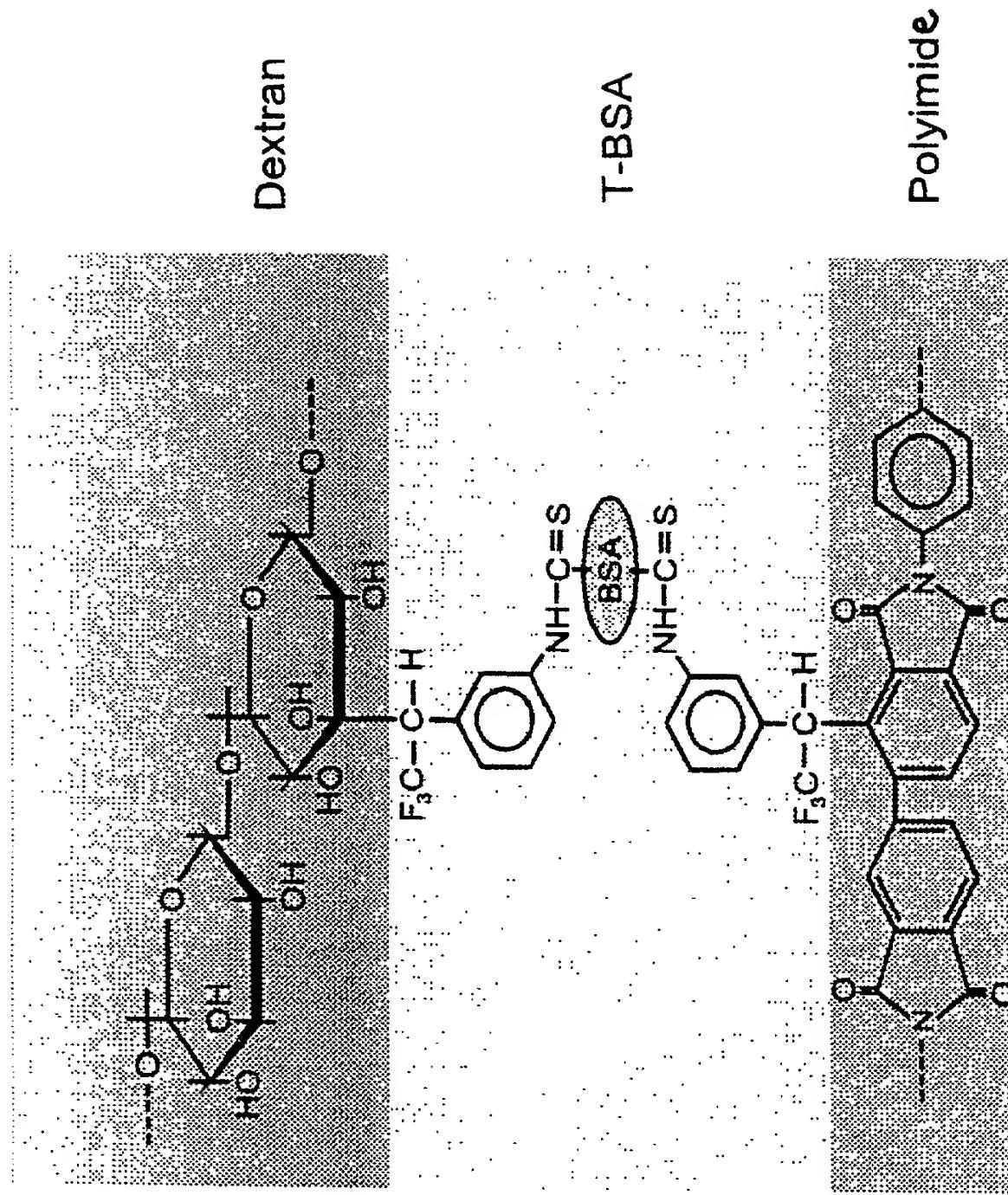
9. A dextran-coated surface according to claim 8, wherein said mass-sensitive sensor is a component capable of utilizing surface acoustic waves.

10. A dextran-coated surface according to claim 1, wherein said carrier surface is the surface of an optical or electro-mechanical sensor.

ABSTRACT OF THE DISCLOSURE

In a dextran coated surface disposed on a carrier, the connections between the dextran and the carrier surface are formed by a photolinker, the dextran coating being attached to the carrier surface by co-immobilization of a mixture of dextran and the photolinker.

5



**Fig. 1**

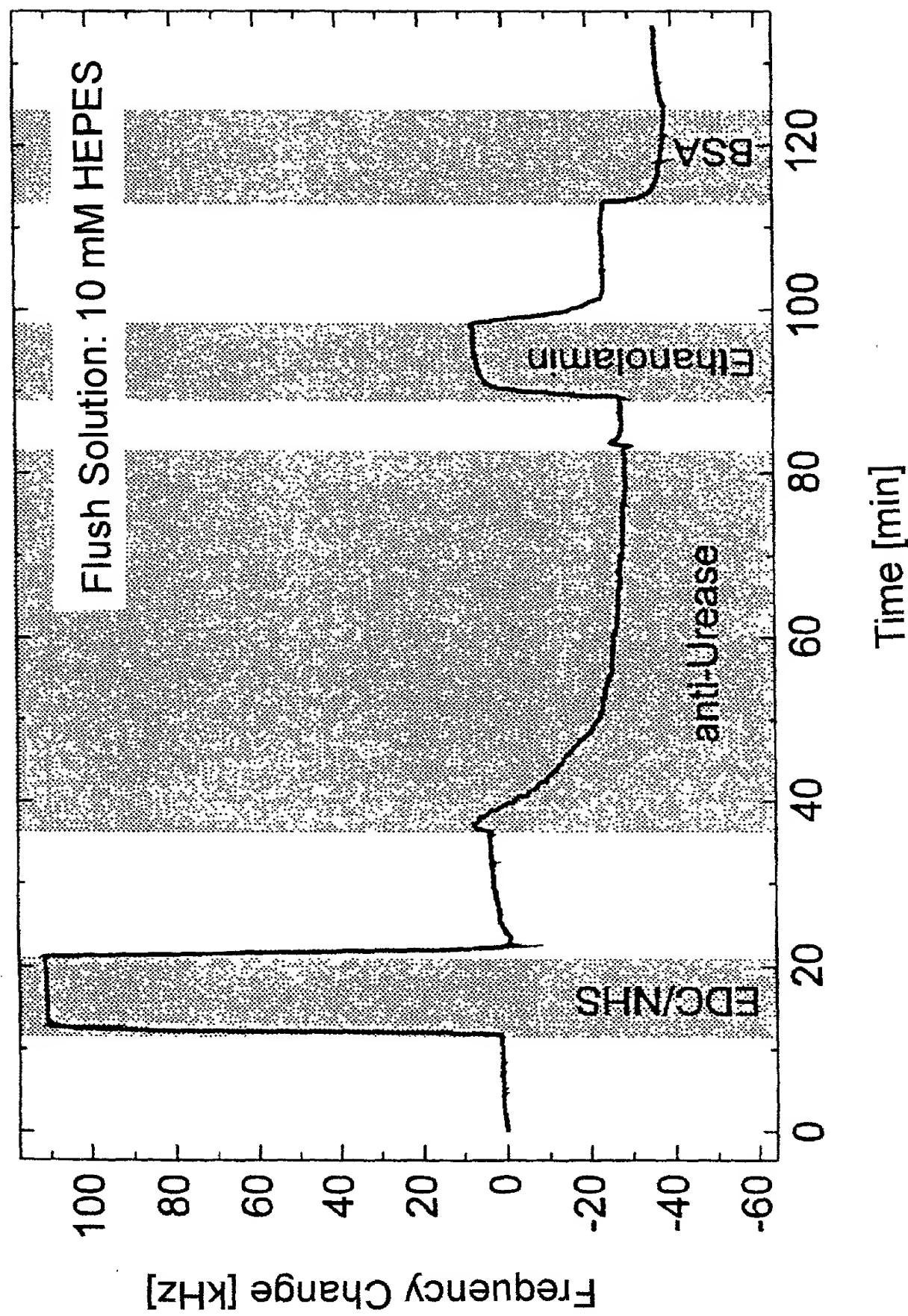


Fig. 2

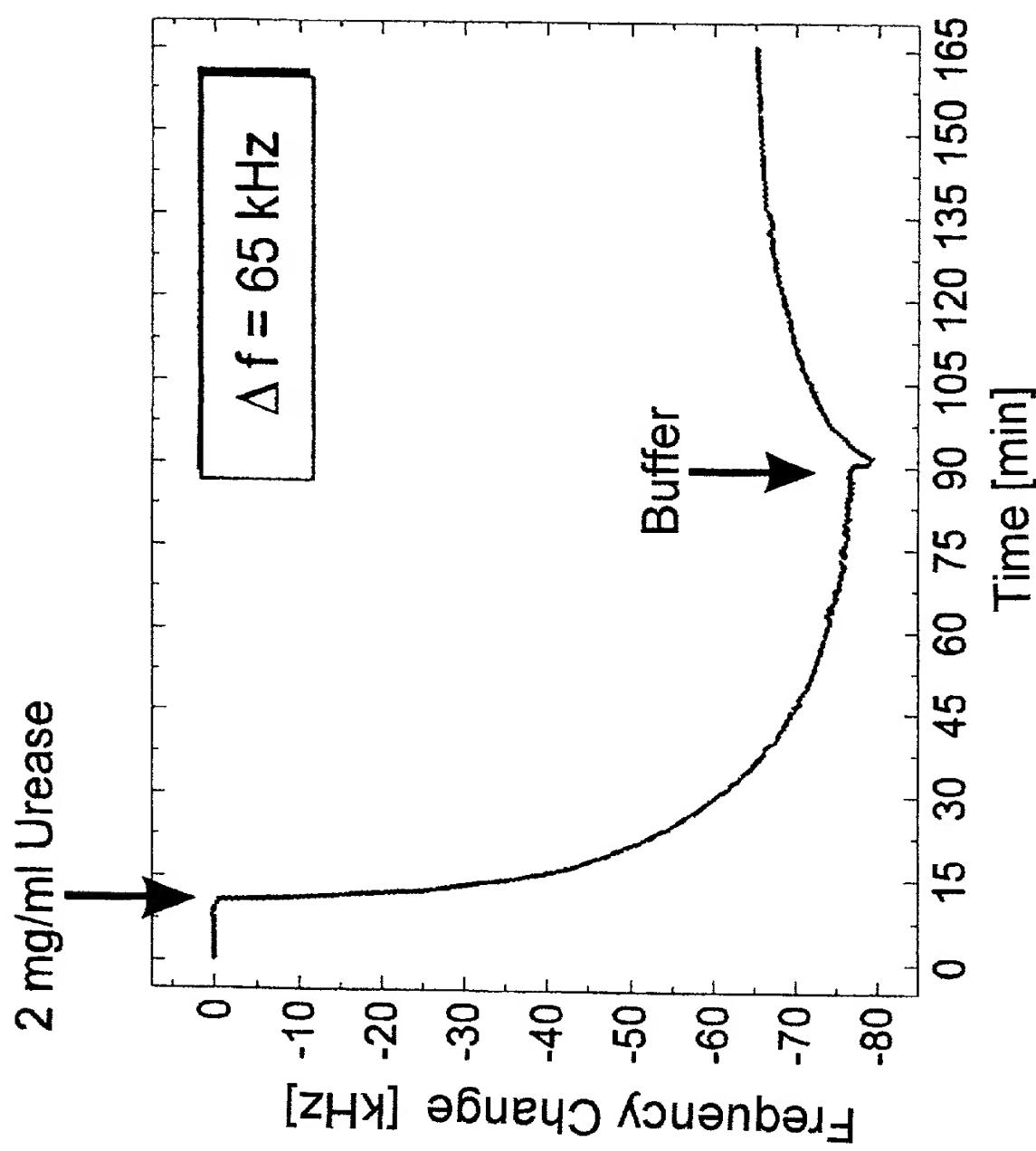


Fig. 3

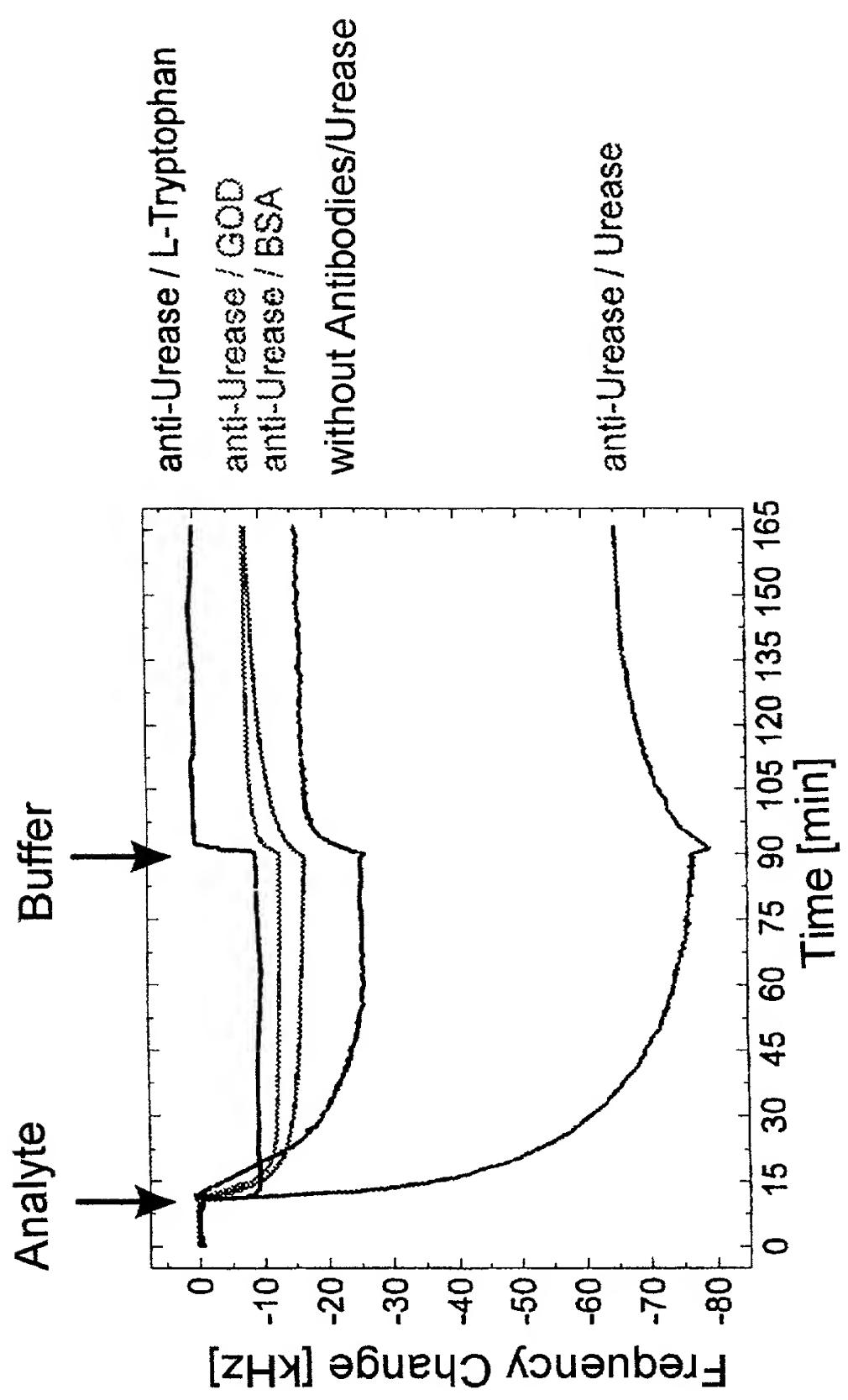


Fig. 4